

Paul A. Swegle

April 21, 2025

Re: **Statement of Interest to Serve as Chair of the Securities Law Committee**

I, Paul Swegle, wish to serve another term as Chair of the Securities Law Committee.

As a former staff member of the U.S. Securities and Exchange Commission, both in its Enforcement Division and its Corporate Finance Division, I have a strong background in state and federal securities laws and have always found them intriguing and professionally rewarding.

I am regularly engaged in securities law matters, primarily relating to financings and corporate acquisitions, but also equity incentive compensation arrangements, the formation of new companies, secondary securities transactions, and more esoteric issues involving cryptocurrencies and other instruments that challenge the definition of a security.

I have long been a member of the Securities Law Committee and wish to see it continue to provide value to all members of the WSBA.

I have been active in the WSBA virtually my entire career, having served two terms as Chair of the Corporate Counsel Section and one term as a Governor on the Board of Governors. During my career I have been involved as chair or speaker at countless CLEs and other professional events. I have my own publishing and seminar company named Business Law Seminar Group. I am also an adjunct law professor at both Seattle University School of Law and Gonzaga University School of Law, where I teach my own course, *Counseling Startups – Law, Regulation and Fundraising*.

In summary, I am eager to serve as Chair of the Securities Law Committee and would seek to increase WSBA member engagement with the Committee and to (i) continue its legacy of hosting an annual “Meet the Regulators” CLE/conference in conjunction with the Washington State Securities Division and the annual Northwest Securities Law Institute and (ii) to explore other ways of increasing the Committee’s relevance in times of rapid regulatory and market changes.

Sincerely,

Paul A. Swegle